

Force Priority	Operational Process	Management Control	Corporate Responsibility	Third Party Review	Internal Audit Assurance
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Ensure the City of London Police runs an effective and efficient service by holding the Commissioner to Account

Critical Systems:

- (1) AIRWAVE - IT
- (2) ANPR – Automated Number plate recognition – I & I
- (3) CBIS – CoL Financial Management System – City of London Corporation
- (4) Command & Control – Control Room
- (5) E Mail – Electronic Mail system - IT
- (6) Holmes – Holmes Database – Crime Investigation Dir
- (7) ICCS – Control Room
- (8) Internet - IT
- (9) iTrent – CoL pay and historical payment system – City of London Corporation
- (10) Knowfraud – NFIB
- (11) Network (LAN/WAN) – internet communications - IT
- (12) NSPIS Case Preparation – Judicial case preparation – CJU
- (13) NSPIS Custody – Custody System – CJU
- (14) NSPIS HR – Human Resources – HR
- (15) PNC – Police National Computer – PNC Bureau
- (16) Telephony – Telephone system – IT
- (17) UNIFI – Local Crime & Intelligence System – I & I

Note: area subject to significant change resulting from transfer of operations to Chamberlain IS/IT and Agilysis

- ACPO Director of Corporate Services (1 - 17)
- Crime Investigation (tbc)
- Intelligence and Information (tbc)
- Economic Crime (6, tbc)
- Intelligence and Information (tbc)
- Uniformed Policing (13)
- National Fraud Intelligence Bureau (10 & 17)

- Chamberlain's – CBIS Team (3)
- Chamberlain's – Payroll/Pensions (9)
- Chamberlain's – IS/IT Division (1-17)

- Association of Police Authorities
- The Home Office
- Information Commissioner (DP/FOI)

- Internal Audit will undertake a review of Business Continuity as part of the 2014/15 Internal Audit Plan.
- Internal Audit will undertake a review of IT Strategy/Security as part of the 2015/16 Internal Audit Plan.

Due Diligence Activities – Key processes that support the running of the business:

- (18) Annual Policing Plan preparation
- (19) Risk Register Maintenance
- (20) Police Committee activities
- (21) Review of National Leadforce Performance Outcomes.
- (22) Police Professional Standards Team

- Commissioner (18 - 22)
- ACPO Operations (18 - 21)
- Assistant Commissioner (18 - 22)
- Commander National Economic Crime Lead (21)

- Town Clerk's - Committee Services (20)
- Chamberlain's - Risk Management (SR 19)
- Corporate - IS Board

- The Home Office (18)
- Her Majesty's Inspectorate of Constabularies (HMIC) (18/22)
- National Crime Agency. (21)

- Governance and Financial Management 2011/12
- Risk Register Maintenance 2010
- Six Monthly Internal Audit update reports to the Performance and Resource Management (Police) sub committee.
- Police VFM Benchmarking Assurance Exercise 2014/15 Internal Audit Plan

Strategic Risks

- SR 01** Inadequate response to terrorism within the City (Current Rating: GREEN)
- SR 02** Reduction in public confidence in the Force as a result of terrorist attack against City (Current Rating: GREEN)
- SR 03** Inadequate management of a high profile event (Current Rating: GREEN)
- SR 04** Underperforming as Lead Force for Economic Crime (Current Rating: AMBER)
- SR 05** Reduction of staff morale/well-being (Current Rating: AMBER)
- SR 09** Delivery of new Force Estate (Current Rating: GREEN)
- SR11** Delivery of Policing Plan Priorities and Measures (Current Rating: GREEN)
- SR12** Loss of ECD external funding streams (Current Rating: AMBER)
- SR 14** IT Business Continuity (Current Rating: AMBER)
- SR 16** Impact of CSR savings on Force Capability (Currently Unrated: Amber)
- SR 17** Continued pressure on funding streams reducing overall Force budget. (Current Rating AMBER)
- SR 18** Vulnerability of Force IT network security being comprised (Current Rating AMBER)
- SR 19** Failure in Provision of Custody Service (Current Rating: AMBER)
- SR 20** Policy approval and management process leaves Force open to potential litigation. (Current Rating: AMBER)

- Counter Terrorism Architectural liaison Team. (SR 01 - SR 03)
- Counter Terrorism Security Advisors. (SR 01 - SR 03)
- CT & Serious Crime Directorate. (Sr 01 - SR 03)
- Economic Crime Directorate. (SR 04)
- National Fraud Intelligence Bureau (NFIB).
- ACPO Director of Corporate Services. (SR 12 - SR 18)
- Superintendent – Strategy, Performance & Review (SR11)
- ACPO (SR 01 - SR 20)
- ACPO Director of Corporate Services (SR12)
- Force Risk Assurance Group (SR 01 - SR20)
- ICT Section BC Planned Reviews)SR 14)
- ICT Section (SR 14, SR18)

- City Surveyor's Department (SR 09)
- Chamberlain's Business Support Director (SR 09)

- Metropolitan Police. (SR 01 - SR03)
- British Transport Police. (SR 01 - SR 03)
- Ministry of Defence. (SR 01 - SR03)
- Her Majesty's Inspectorate of Constabularies (HMIC) (SR's 3,11,16,17,19)

- Specific Audit Reviews:
- Internal Audit Review: Business Continuity Arrangements 2011/12, plus BCP/IT DR review 14/15
- Internal Audit Review: IAMM Compliance 2012/13

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Set policing priorities taking into account the views of the community	Emerging Issues <ul style="list-style-type: none"> • Anti-social behaviour • Begging 	<ul style="list-style-type: none"> • Uniformed Policing Directorate. 		<ul style="list-style-type: none"> • Pub Watch. • Shop Watch. • Face Watch. • Safer City Partnership. 	
Ensure value for money in the way the police is run.	Key transformation programmes and projects: <ul style="list-style-type: none"> • City First project • City Futures Project 	<ul style="list-style-type: none"> • Superintendent – Strategy, Performance & Review 		<ul style="list-style-type: none"> • HMIC • The Home Office 	<ul style="list-style-type: none"> • Internal Audit Review 2012/13 • Internal Audit Review 2013/14
Ensure the City of London Police runs an e	ICT Assurance				
service by holding the Commissioner to account	ICT Risk Register:	<p style="text-align: center;">Note: area subject to significant change resulting from transfer of operations to Chamberlain IS/IT and Agilysis</p>			
	ICT01 Unable to provide effective Airwave support causing operational impact				
	ICT02 IT Dept. unable to adhere to approved processes or operate at the required level and therefore unable to provide the agreed support and service functions for the Force.				
	ICT03 Certain Mitel telephone systems reach end of life by October 2015, the Force unable to communicate effectively affecting Force capability.				
	ICT04 Loss of specialised knowledge and unique skill sets has resulted in staff attrition due to ECIS approach and continuing uncertainty of IT, leading to decline in level of service provision.				
	ICT05 Poor service delivered to customers, undue delays in services provided.				
	ICT06 Windows XP and Desktop Operating System go end of live early 2014 and will no longer be supported.				
	ICT07 Loss of IT services causing severe impact to the Force.				
	ICT09 No Cyclic replacement programme in place.				
	ICT10 ANPR BOF does not have any supplier support contract, so any large failures could render the system inoperable.	<ul style="list-style-type: none"> • Director of Corporate Services 	Chamberlain's Dept - ICT Director	<ul style="list-style-type: none"> • The Home Office 	<ul style="list-style-type: none"> • Internal Audit of Police - System Security/Strategy as part of the 2015/16 Internal Audit Plan.
	ICT12 Lack of permanent DBA resource within CoLP IT leading to an inability to deal with database related issues or service impacting failures.	<ul style="list-style-type: none"> • CIO City of London 		<ul style="list-style-type: none"> • Association of Police Authorities 	<ul style="list-style-type: none"> • Internal Audit IS/IT coverage of City of London, and Agilysis operations as they come under new management arrangements
	ICT13 The Force IT systems business continuity plan does not have the level of maturity required. In addition, there are certain systems that do not have BC in place such as Airwave or Know Fraud.	<ul style="list-style-type: none"> • Force Risk Assurance Group 			
	ICT15 No contracted support for critical systems and hardware due to maintenance support agreements expired or not in place.				
	ICT16 Major failures or high levels of faults within software and old hardware (OS and tools) may not be repairable due to the age of the software and hardware. Risk of extended service outage to the Force whilst replacements procured.				
	ICT19 Expensive, ineffective or poor IT solutions delivered to business with subsequent poor service or perceptions/loss of confidence of IT Department.				
	ICT20 Poor budget management will lead to financial non-compliance overspend or under spend on items which are required by the Force and lost opportunities.				
	ICT22 Possible loss of Force hardware, breach of security policies, inaccurate warranty costs & insurance/asset values. Potential breach of software licence agreements. Potential avoidable costs being incurred through failing to redeploy unused licenses.				
	ICT23 Tape Backup Hardware is old and continually failing.				